



## ECONORISK (PTY) LTD

### MANUAL PREPARED IN TERMS OF SECTION 51 OF THE PROMOTION OF ACCESS TO INFORMATION ACT (ACT 2 OF 2000)

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## 1. INTRODUCTION TO ECONORISK

Econorisk, as a private body is an authorised financial services provider FSP no. 13231.

We are authorised to carry on business in respect of Financial advisory and intermediary services as Financial Services Provide in respect of the following products:

Advice and intermediary services: Short-term Insurance: Category Personal Lines, Commercial Lines.

## 2. INTRODUCTION TO PROMOTION OF ACCESS TO INFORMATION ACT (PAIA)

The Promotion of Access to Information Act, No. 2 of 2000 (“the Act”) gives effect to the constitutional right of access to any information in records held by public (government) or private (non-government) bodies that is required for the exercise of protection of any rights.

Where a request is made in terms of the Act, Econorisk is obliged to release the information, except where the Act expressly provides that the information may or must not be released.

This manual informs requesters of procedural and other requirements which a request must meet as prescribed by the Act. It is important to note that the Act recognises certain limitations to the right of access to information, including, but not limited to, limitations aimed at the reasonable protection of privacy, commercial confidentiality, and effective, efficient and good governance, and in a manner which balances that right with any other rights, including such rights contained in the Bill of Rights in the Constitution.



### 3. INFORMATION REQUIRED UNDER SECTION 51(1) (a) OF THE ACT

#### 3.1. Contact details for Econorisk

- **Joint CEO and Information Officer:**  
Andrew Lilley
  
- **Joint CEO and Deputy Information Officer:**  
Matthew van den Heuvel
  
- **Physical Address:**  
356 Rivonia Boulevard  
Rivonia  
2198
  
- **Postal Address:**  
PO Box 3428  
Rivonia  
2128
  
- **Telephone number:**  
011 045 8500
  
- **Fax number:**  
0860 558 0080
  
- E- Mail address:  
[info@econorisk.co.za](mailto:info@econorisk.co.za)



#### 4. DESCRIPTION OF GUIDE REFERRED TO IN SECTION 10

A Guide has been compiled in terms of Section 10 of PAIA by the South African Human Rights Commission (SAHRC). It contains information required by a person wishing to exercise any right, contemplated by PAIA. It is available in all of the official languages.

The Guide is available for inspection, *inter alia*, at the office of the offices of the Human Rights Commission at The Guide is available for inspection, *inter alia*, at the office of the South African Human Rights Commission.

For further information, please contact the SAHRC:

**Physical address:** Braampark Forum 3  
33 Hoofd Street  
Braamfontein  
Johannesburg

**Postal Address:** Private Bag 2700  
Houghton  
2041

**Telephone Number:** +27 11 877 3600

**Fax Number:** +27 11 403 0625

**E-Mail address:** [www.sahrc.org.za](http://www.sahrc.org.za) or [mnyuswa@sahrc.org.za](mailto:mnyuswa@sahrc.org.za)

#### 5. RECORDS AVAILABLE IN TERMS OF OTHER LEGISLATION

Records available in terms of other legislation are as follows:

Basic Conditions of Employment Act No. 75 of 1997

Broad-based Black Economic Empowerment Act 53 of 2003

Companies Act No 71 of 2008 and Applicable Regulations

Compensation for Occupational Injuries and Health Diseases Act No. 130 of 1993

Employment Equity Act. No. 55 of 1998



Financial Advisory and Intermediary Services Act 37 of 2002  
Financial Intelligence Centre Act No. 38 of 2001.  
Financial Services Board Act No. 97 of 1990  
Income Tax Act No 58 of 1962  
Insurance Act No. 27 of 1943  
Intellectual Property Laws Amendment Act No. 38 of 1997  
Labour Relations Act No 66 of 1995  
Occupational Health and Safety Act No. 85 of 1993  
Promotion of Access to Information Act No.2 of 2000  
Promotion of Equality and Prevention of Unfair Discrimination Act No. 4 of 2000  
Protected Disclosures Act No. 26 of 2000  
Protection of Personal Information Act 4 of 2013  
Information Act No. 70 of 2002  
Skills Development Act 97 of 1998  
Skills Development Levies Act No 9 of 1999  
Trust Property Control Act No. 57 of 1988  
Unemployment Insurance Act No. 63 of 2001  
Unemployment Insurance Contributions Act No 4 of 2002  
Value Added Tax Act No 89 of 1991

## 6. SUBJECTS AND CATEGORIES OF RECORDS HELD BY ECONORISK

General information about Econorisk can be accessed via the internet on [www.econorisk.co.za](http://www.econorisk.co.za), which is available to all persons who have access to the internet.

The subjects on which the private body holds records and the categories on each subject in terms of Section 51(1)(e) are as listed below.

Please note that a requester is not automatically allowed access to these records and that access to them may be refused in accordance with Sections 62 to 69 of the Act:

### 6.1. COMPANIES ACT RECORDS

- All trust deeds;
- Documents of Incorporation;
- Index of names of members of Close Corporation;
- Memorandum of Incorporation;



- Minutes of meeting of the Board of Directors;
- Minutes of meetings of Shareholders;
- Proxy forms
- Register of debenture-holders;
- Register of directors' shareholdings;
- Research and development;
- Share certificates; and
- Share Register and other statutory registers and/or records and/or documents;
- Special resolutions/Resolutions passed at General and Class meetings.

Records relating to the appointment of:

- Auditors;
- Directors;
- Prescribed Officer.
- Public Officer; and
- Secretary;

## 6.2. **FINANCIAL RECORDS**

- Accounting Records
- Annual Financial Reports;
- Annual Financial Statements
- Asset Registers;
- Bank Statements
- Banking details and bank accounts;
- Banking Records
- Debtors / Creditors statements and invoices;
- General ledgers and subsidiary ledgers;
- General reconciliation;
- Invoices;
- Paid Cheques
- Policies and procedures;
- Rental Agreements; and
- Tax Returns.



### **6.3. INCOME TAX RECORDS**

- PAYE Records
- Documents issued to employees for income tax purposes
- Records of payments made to SARS on behalf of employees
- All other statutory compliances:
  - VAT
  - Regional Services Levies
  - Skills Development Levies
  - UIF
  - Workmen's Compensation

### **6.4. PERSONNEL DOCUMENTS AND RECORDS**

- Accident books and records;
- Address Lists;
- Disciplinary Code and Records;
- Employee benefits arrangements rules and records;
- Employment Contracts;
- Employment Equity Plan
- Forms and Applications;
- Grievance Procedures;
- Leave Records;
- Medical Aid Records;
- Payroll reports/ Wage register;
- Pension Fund Records;
- Safety, Health and Environmental records;
- Salary Records;
- SETA records
- Standard letters and notices
- Training Manuals;
- Training Records;
- Workplace and Union agreements and records.

### **6.5. PROCUREMENT DEPARTMENT**

- Standard Terms and Conditions for supply of services and products;



- Contractor, client and supplier agreements;
- Lists of suppliers, products, services and distribution; and
- Policies and Procedures.

#### **6.6. SALES DEPARTMENT**

- Customer details
- Credit application information
- Information and records provided by a third party

#### **6.7. Risk Management and Audit**

- Audit reports;
- Risk management frameworks; and
- Risk management plans.

#### **6.8. SAFETY, HEALTH AND ENVIRONMENT**

- Complete Safety, Health and Environment Risk Assessment
- Environmental Managements Plans
- Inquiries, inspections, examinations by environmental authorities

#### **6.9. IT DEPARTMENT**

- Computer / mobile device usage policy documentation;
- Disaster recovery plans;
- Hardware asset registers;
- Information security policies/standards/procedures;
- Information technology systems and user manuals
- Information usage policy documentation;
- Project implementation plans;
- Software licensing; and
- System documentation and manuals.

For more information on the PAI Act, please visit:

<http://www.sahrc.org.za/index.php/understanding-paia>.





## 7. DETAIL ON HOW TO MAKE A REQUEST FOR ACCESS

The requester must complete **Form C** (see at the end of manual) and submit this form together with a request fee, to the head of Econorisk. The form must be submitted to the head of the company at his address, fax number, or electronic mail address as stated earlier in this manual.

### Form of request:

- The requester must use the prescribed form, to make the request for access to a record. This must be made to the designated head. This request must be made to the address, fax number or electronic mail address of the body concerned [s 53(1)].
- The requester must provide sufficient detail on the request form to enable the designated head to identify the record and the requester.
- The requester should indicate which form of access is required.
- The requester should indicate if any other manner is to be used to inform the requester and state the necessary particulars to be so informed [s 53(2)(a) and (b) and (c)].
- The requester must identify the right that is sought to be exercised or to be protected and provide an explanation of why the requested record is required for the exercise or protection of that right [s 53(2)(d)].
- If a request is made on behalf of another person, the requester must then submit proof of the capacity in which the requester is making the request to the satisfaction of the designated head of the private body [s 53(2)(f)].
- A requester who seeks access to a record containing personal information about that requester is not required to pay the request fee.
- Every other requester, who is not a personal requester, must pay the required request fee.



- The designated head of the private body must notify the requester (other than a personal requester) by notice, requiring the requester to pay the prescribed fee (if any) before further processing the request [s 54(1)].
- The fee that the requester must pay to a private body is currently R50,00. The requester may lodge an application to the court against the tender or payment of the request fees 54(3)(b)].
- After the designated head of the private body has made a decision on the request, the requester must be notified in the required form.
- If the request is granted then a further access fee must be paid for the search, reproduction, preparation and for any time that has exceeded the prescribed hours to search and prepare the record for disclosure [s 54(6)].

Grounds for refusing a request:

Econorisk has the right to reject any request for information submitted in terms of Sections 62 to 70 of Chapter 4 of the PAIA Act.

## 8. AVAILABILITY OF THE MANUAL

This manual is available for inspection at the office of Econorisk free of charge as well as from the SAHRC



## 9. FEES IN RESPECT OF PRIVATE BODIES

The following is a breakdown of the fees structure for the purposes of determining the manner in which fees relating to a request for access to a record of a private body are to be calculated:

Part III of Regulation 187 published in the Government Gazette on the 15 February 2002:

1. The request fee payable by a requester, other than a personal requester, referred to in Regulation 11(2) is R50,00.
2. The access fees payable by a requester referred to in Regulation 11(3) are as follows:
  - a) (i) For a transcription of visual images, for an A4-size page or part thereof R 40,00; (ii) For a copy of visual images R 60,00
  - b) (i) For a transcription of an audio record, for an A4-size page or part thereof R 20,00; (ii) For a copy of an audio record R 30,00
  - c) To search for and prepare the record for disclosure, R30,00; for each hour or part of an hour reasonably required for such search and preparation.

2.1. For purposes of section 54(2) of the Act, the following applies:

- (a) Six hours as the hours to be exceeded before a deposit is payable; and
- (b) one third of the access fee is payable as a deposit by the requester.

2.2. The actual postage is payable when a copy of a record must be posted to a requester.



## 2. FORM C: REQUEST FOR ACCESS TO RECORD OF PRIVATE BODY

In terms of Section 53 (1) of the Promotion of Access to Information Act, 2000 (Act No. 2 of 2000) [Regulation 10]

### A. Particulars of private body

Name: Andrew Lilley  
Address: 356 Rivonia Boulevard, Rivonia, 2128  
Fax Number: 0860 558 0080  
E-Mail address: andrewl@econorisk.co.za

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### B. Particulars of person requesting access to the record

- (a) *The particulars of the person who requests access to the record must be given below.*
- (b) *The address and/or fax number in the Republic to which the information is to be sent must be given.*
- (c) *Proof of the capacity in which the request is made, if applicable, must be attached.*

Full names and surname: \_\_\_\_\_

Identity number: \_\_\_\_\_

Postal address: \_\_\_\_\_

Fax number: \_\_\_\_\_

Telephone number: \_\_\_\_\_

E-mail address: \_\_\_\_\_

Capacity in which request is made, when made on behalf of another person: \_\_\_\_\_

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**C. Particulars of person on whose behalf request is made**

*This section must be completed ONLY if a request for information is made on behalf of another person.*

Full names and surname: \_\_\_\_\_

Identity number: \_\_\_\_\_

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**D. Particulars of record**

*(a) Provide full particulars of the record to which access is requested, including the reference number if*

*that is known to you, to enable the record to be located.*

*(b) If the provided space is inadequate, please continue on a separate folio and attach it to this form.*

**The requester must sign all the additional folios.**

1. Description of record or relevant part of the record: \_\_\_\_\_

2. Reference number, if available: \_\_\_\_\_

3. Any further particulars of record: \_\_\_\_\_

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**E. Fees**

*(a) A request for access to a record, other than a record containing personal information about yourself, will be processed only after a **request fee** has been paid.*

*(b) You will be notified of the amount required to be paid as the request fee.*

*(c) The **fee payable for access** to a record depends on the form in which access is required and the reasonable time required to search for and prepare a record.*

*(d) If you qualify for exemption of the payment of any fee, please state the reason for exemption.*

Reason for exemption from payment of fees: \_\_\_\_\_

**F. Form of access to record**

*If you are prevented by a disability to read, view or listen to the record in the form of access provided for in 1 to 4 hereunder, state your disability and indicate in which form the record is required.*

Disability: \_\_\_\_\_

Form in which record is required: \_\_\_\_\_

**NOTES:**

*(a) Compliance with your request in the specified form may depend on the form in which the record is available.*

*(b) Access in the form requested may be refused in certain circumstances. In such a case you will be informed if access will be granted in another form.*

*(c) The fee payable for access to the record, if any, will be determined partly by the form in which access is requested.*

*Mark the appropriate choice with an X.*

**1. If the record is in written or printed form:**

- copy of record
- inspection of record

**2. If record consists of visual images**

(this includes photographs, slides, video recordings, computer-generated images, sketches, etc.):

- view the images copy of the images
- transcription of the images

**3. If record consists of recorded words or information which can be reproduced in sound:**

- listen to the soundtrack (audio file)
- transcription of soundtrack (written or printed document)

**4. If record is held on computer or in an electronic or machine-readable form:**



- printed copy of record
- printed copy of information
- derived from the record
- copy in computer readable form
- stifty or compact disc

If you requested a copy or transcription of a record (above), do you wish the copy or transcription to be posted to you? Postage is payable.

- YES
- NO

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**G. Particulars of right to be exercised or protected**

*If the provided space is inadequate, please continue on a separate folio and attach it to this form. The requester must sign all the additional folios.*

1. Indicate which right is to be exercised or protected: \_\_\_\_\_

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2. Explain why the record requested is required for the exercise or protection of the aforementioned right: \_\_\_\_\_

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**H. Notice of decision regarding request for access**

*You will be notified in writing whether your request has been approved/denied. If you wish to be informed in another manner, please specify the manner and provide the necessary particulars to enable compliance with your request.*

How would you prefer to be informed of the decision regarding your request for access to the record? \_\_\_\_\_

Signed at \_\_\_\_\_ this \_\_\_\_\_ day of \_\_\_\_\_

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**SIGNATURE OF REQUESTER / PERSON ON WHOSE  
BEHALF REQUEST IS MADE**



**3. FORM E: AUTOMATICALLY AVAILABLE RECORDS**

**FORM E**

**AUTOMATICALLY AVAILABLE RECORDS AND ACCESS TO SUCH RECORDS:**  
 (Section 52 of the Promotion of Access to Information Act, 2000  
 (Act 2 of 2000))  
 [Regulation 9A]

DESCRIPTION OF CATEGORY OF RECORDS AUTOMATICALLY AVAILABLE IN TERMS OF SECTION 52(1)(a) OF THE PROMOTION OF ACCESS TO INFORMATION ACT, 2000	MANNER OF ACCESS TO RECORDS (e.g. website) (SECTION 52(1)(b))
<b>FOR INSPECTION IN TERMS OF SECTION 52(1)(a)(i):</b>	
..... ..... ..... .....	..... ..... ..... .....
<b>FOR PURCHASING IN TERMS OF SECTION 52(1)(a)(ii):</b>	
..... ..... ..... .....	..... ..... ..... .....
<b>FOR COPYING IN TERMS OF SECTION 52(1)(a)(ii):</b>	
..... ..... ..... .....	..... ..... ..... .....
<b>AVAILABLE FREE OF CHARGE IN TERMS OF SECTION 52(1)(a)(iii):</b>	
..... ..... ..... .....	..... ..... ..... .....